

PROOF OF SERVICE BY MAIL (1013a.2015.5. C.C.P.)

STATE OF CALIFORNIA, COUNTY OF ORANGE

I am employed in the county aforesaid; I am over the age of eighteen years and not a party to the within entitled action; my business address is 2107 N. Broadway, Suite 207, Santa Ana, CA 92706.

On 3/22/2022, I served the within progress report(s) dated 11.29.21 and bill regarding **Sandra Roquemore** 2.15.22 on the appropriate parties in said action by placing a true copy thereof enclosed in a sealed envelope with postage thereon fully prepaid, in the United States mail at Santa Ana, California, addressed as follows:

Workers Defenders Law Group
8018 E. Santa Ana Cyn #100-215
Anaheim Hills, CA 92808

Ted Tribble PSY.D.
4344 Latham Street Ste. 120
Riverside, CA 92501

Claim #:
Attn.

Accident Fund Ins. Co. of America
P.O. Box 40790
Lansing, MI 48901
CL#:UW2000031101
Attn.: Patricia Carruther

Next Level Administrators
P.O. Box 1061
Bradenton, FL 34206
Claim #:
Attn.

I declare under penalty of perjury, that the foregoing is true and correct.

Executed on 3/22/2022, at Santa Ana, California.

By: _____

Alina Flores

cc: File

State of California Additional pages attached
 Division of Workers' Compensation
PRIMARY TREATING PHYSICIAN'S PROGRESS REPORT (PR-2)

Check the box(es) which indicate why you are submitting a report at this time. If the patient is "Permanent and Stationary" (i.e., has reached maximum medical improvement), do not use this form. You may use DWC Form PR-3 or IMC 81556

<input type="checkbox"/> Periodic Report (required 45 days after last report)	<input type="checkbox"/> Change in treatment plan	<input type="checkbox"/> Discharged
<input type="checkbox"/> Change-in work status	<input type="checkbox"/> Need for referral or consultation	<input type="checkbox"/> Info. Requested by:
<input type="checkbox"/> Change in patient's condition	<input type="checkbox"/> Need for surgery or hospitalization	<input type="checkbox"/> Other: <u>UR REQUESTED</u>

Patient:
 Last Roquemore First Sandra M.I. _____ Sex Female DOB 02/11/1955
 Address 1763 Exposition Blvd City Los Angeles State _____ Zip 90018
 Occupation _____ SS # _____ Phone () (213)677-8002
 _____ SS # 564-92-3586 Phone () (213)677-8002

Claims Administrator:
 Name Accident Fund Ins. Co. of America Claim Number CL#:UW2000031101
 Address P.O. Box 40790 City Lansing State _____ Zip 48901
 Phone (877)563-4636 FAX ()
Employer name: American Guard Services (DBA) Employer Phone: ()

The information below must be provided. You may use this form or you may substitute or append a narrative report.

Subjective Complaints:
 Ms. Roquemore reports pain in her lower back, legs, and feet, and numbness and tingling in her feet. She has physical limitations and difficulties ambulating. She uses a cane/walker. She has difficulties performing her activities of daily living. She experiences difficulty controlling her emotions. (Continue on 2nd page)

Objective findings: (Include significant physical examination, laboratory, imaging, or other diagnostic findings.)
 Anxious mood and nervous.

Diagnosis:

1. <u>Major Depressive Dis., Single Episode, Severe w/o</u>	ICD-9	<u>F32.2</u>
2. <u>Generalized Anxiety Disorder</u>	ICD-9	<u>F41.1</u>
3. <u>Insomnia Related to Other Mental Disorder</u>	ICD-9	<u>F51.05</u>

Treatment Plan: (Include treatment rendered to date. List methods, frequency and duration of planned treatment(s). Specify consultation/referral, surgery, and hospitalization. Identify each physician and non-physician provider. Specify type, frequency and duration of physical medicine services (e.g., physical therapy, manipulation, acupuncture. Use CPT codes is encouraged. Have there been any changes in treatment plan? If so, why?)
 Cognitive Behavioral Group Psychotherapy 1x week for 6 weeks. Relaxation Training/Hypnotherapy 1x week for 6 weeks. Follow up in 45 days. Continue with current treatment plan. (Continue on 2nd page)

Work Status: From the psychological standpoint, this patient is psychiatrically temporarily totally disabled until 01/14/2022.
Restrictions: To be determined when patient reaches MMI Status.

Primary Treating Physician: (original signature, do not stamp) _____ Date of exam: November 29, 2021
 I declare under penalty of perjury that this report is true and correct to the best of my knowledge and that I have not violated Labor Code § 139.3.
 Signature: _____ Cal. Lic. # PSY12317/PSY31773
 Executed at: SANTA ANA Date: 11/29/2021
 Name: Nelson J. Flores, Ph.D, QME/Nelson L. Flores, Psy.D. Specialty: Psychology
 Address: P.O. Box 6299 Laguna Niguel, CA 92607-6299 Phone: (714) 972-0040
 Next report due no later than _____

Primary Treating Physician's Progress Report (PR-2)

RE: Sandra Roquemore

Date: November 29, 2021

Page 2 of 2

Subjective Complaints:

She tends to socially isolate and withdraw from others. She feels sad, irritable, fearful, nervous, restless, anxious, depressed, and helpless. She has difficulty communicating. She experiences crying episodes and, at times, she feels like crying. She has a decreased appetite and reports she has lost approximately 3 pounds. She fears the worst happening. She endorses sleep difficulties, including distressing dreams. She feels tired and has low energy throughout the day. She experiences intrusive recollections and flashbacks. She has a decreased sexual desire. She is bothered by headaches. She reports gastrointestinal disturbances, including diarrhea and constipation. She has shown improvement in her overall mood and motivation.

Treatment Plan:

The treatment to be provided by Dr. Flores and Registered Psychological Assistants, Jennifer Lightner-Farrell and Ting F. Chiu.

Psychological Testing:

Burns Depression Checklist score: **42 (moderate depression)** Burns Anxiety Inventory score: **33 (severe anxiety)**

Psychological testing administered today: **31** minutes (testing time includes administration, scoring, and interpretation).

Disclosure:

The patient was informed of and consented to the use of telehealth services.

Scoring and interpretation of the psychological testing were conducted by Dr. Flores/Psychological Assistants, Jennifer Lightner-Farrell/Ting F. Chiu.

Time spent for this service: 25 minutes face to face time with the patient via telemedicine.

Time spent preparing this report: 1.15 hours preparing, transcribing, and editing this report.

I have reviewed the medical records and collateral records. X 15 mins.



State of California
 Division of Workers' Compensation
REQUEST FOR AUTHORIZATION

DWC Form RFA - California Code of Regulations, title 8, section 9785.

This form must accompany the Doctor's First Report of Occupational Injury or Illness, Form DLSR 5021, a Treating Physician's Progress Report, DWC Form PR-2, or narrative report substantiating the requested treatment.

- New Request** **Resubmission – Change in Material Facts**
 Expedited Review: Check box if employee faces an imminent and serious threat to his or her health
 Check box if request is a written confirmation of a prior oral request.

Employee Information

Employee Name (Last, First, Middle): Roquemore, Sandra ROQSA000
 Date of Injury (MM/DD/YYYY): 11/03/2020 Date of Birth (MM/DD/YYYY): 02/11/1955
 Claim Number: CL#:UW2000031101 Employer: American Guard Services (DBA)

Provider Information

Provider Name: Nelson J. Flores, PH.D.
 Practice Name: Psychological Assessment Serv. Contact Name: Ted Tribble PSY.D.
 Address: 2107 N Broadway Ste 207 City: Santa Ana State: CA
 Zip Code: 92706 Phone: 714-972-0040 Fax Number: 714-972-0477
 Provider Specialty: Psychology NPI Number: 1831237981
 E-mail Address: _____

Claims Administrator Information

Claims Administrator Name: Accident Fund Ins. Co. of America Contact Name: _____
 Address: P.O. Box 40790 City: Lansing State: MI
 Zip Code: 48901 Phone: (877)563-4636 Fax Number: (941)444-1220
 E-mail Address: _____

Requested Treatment (see instructions for guidance; attached additional pages if necessary)

Either state the requested treatment in the below space or indicate the specific page number(s) of the accompanying medical report on which the requested treatment can be found. Up to five (5) procedures may be entered; attach additional requests on a separate sheet.

Diagnosis	ICD-Code	Procedure Requested	CPT/HCPCS Code	Other Information: (Frequency, Duration Quantity, Facility, etc.)
Major Depressive Dis., Single	F32.2	Group Medical Psychotherapy	90853	1X WK X <u>6</u> WKS, TOTAL <u>6</u> SESSIONS
Generalized Anxiety Disorder	F41.1	Group Medical Psychotherapy	90853	" "
Insomnia	F51.05	Group Medical Psychotherapy	90853	" "
Pain Dis. w/Related Psychologi	F45.42	Group Medical Psychotherapy	90853	" "

Treating Physician Signature: _____ Date: 2/15/2022

Claims Administrator Response

- Approved** **Denied or Modified** (See separate decision letter) **Delay** (See separate notification of delay)
 Requested treatment has been previously denied **Liability for treatment is disputed**

Authorization Number (if assigned): _____ Date: _____
 Authorized Agent Name: _____ Signature: _____
 Phone: _____ Fax Number: _____ E-mail Address: _____
 Comments: _____



**State of California
Division of Workers' Compensation
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Employee Information

Employee Name (Last, First, Middle): Roquemore, Sandra ROQSA000
Date of Injury (MM/DD/YYYY): 11/03/2020 Date of Birth (MM/DD/YYYY): 02/11/1955
Claim Number/CI#: UW2000031101 Employer: American Guard Services (DBA)

Provider Information

Provider Name: Nelson J. Flores, PH.D.
Practice Name: Psychological Assessment Serv. Contact Name: Ted Tribble PSYD.
Address: 2107 N Broadway Ste 207 City: Santa Ana State: CA
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Diagnosis	ICD-Code	Procedure Requested	CPT/HCPCS Code	Other Information: (Frequency, Duration Quantity, Facility, etc.)
Major Depressive Dis., Single	F32.2	Medical Hypnotherapy/Relaxation Tra	90880	1X WK X <u>4</u> WKS, TOTAL <u>4</u> SESSIONS
Generalized Anxiety Disorder	F41.1	Medical Hypnotherapy/Relaxation Tra	90880	" "
Insomnia	F51.05	Medical Hypnotherapy/Relaxation Tra	90880	" "
Pain Dis. w/Related Psychologi	F45.42	Medical Hypnotherapy/Relaxation Tra	90880	" "

Treating Physician Signature:  Date: 2/15/2022

Claims Administrator Response

- Approved Denied or Modified (See separate decision letter) Delay (See separate notification of delay)
 Requested treatment has been previously denied Liability for treatment is disputed

Authorization Number (if assigned): Date:
Authorized Agent Name: Signature:
Phone: Fax Number: E-mail Address:

Comments:



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Employee Information

Employee Name (Last, First, Middle): Roquemore, Sandra ROQSA000
Date of Injury (MM/DD/YYYY): 11/03/2020 Date of Birth (MM/DD/YYYY): 02/11/1955
Claim Number/CI#: UW2000031101 Employer: American Guard Services (DBA)

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Practice Name: Psychological Assessment Serv Contact Name: Ted Tribble PSY.D.
Address: 2107 N Broadway Ste 207 City: Santa Ana State: CA
Zip Code: 92706 Phone: 714-972-0040 Fax Number: 714-972-0477
Provider Specialty: Psychology NPI Number: 1831237981
E-mail Address: _____

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Diagnosis	ICD-Code	Procedure Requested	CPT/HCPCS Code	Other Information: (Frequency, Duration Quantity, Facility, etc.)
Major Depressive Dis., Single	F32.2	Telephone Services, 21-30 min.	99443	ONCE IN 45 DAYS
Generalized Anxiety Disorder	F41.1	Telephone Services, 21-30 min.	99443	" "
Insomnia	F51.05	Telephone Services, 21-30 min.	99443	" "
Pain Dis. w/Related Psychologi	F45.42	Telephone Services, 21-30 min.	99443	" "

Treating Physician Signature: _____ Date: 2/15/2022

Claims Administrator Response

- Approved Denied or Modified (See separate decision letter) Delay (See separate notification of delay)
 Requested treatment has been previously denied Liability for treatment is disputed

Authorization Number (if assigned): _____ Date: _____
Authorized Agent Name: _____ Signature: _____
Phone: _____ Fax Number: _____ E-mail Address: _____

Comments: _____



Message Sent: 81880274 | 3/3/2022 2:57:49 PM PST

1 message

FAXAGENT <noreply@mitelcloud.com>
To: Fax 3 <collections@drnelsonflores.com>

Thu, Mar 3, 2022 at 4:00 PM

Delivery Information:

Message #: 81880274
Status: Success
Sender Name: Fax 3
Sender Company:
Sender Phone:

Remote CSID: FF240-IP.Rx
Total Pages: 6

Start Time: 3/3/2022 2:57:49 PM PST
End Time: 3/3/2022 8:00:03 AM PST
Duration: 0.447 sec
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Fax Transmission

To: 19414446200

From: Fax 3

Fax: 19414446200

Date: 3/3/2022 2:57:49 PM PST

RE: Roquemore, Sandra

Pages: 6

Comments:

11/29/21 PR-2 and RFAs

Collections

*Psychological Assessment Services *

Ph. 714-972-0040

Fax 714-972-0477



State of California
Division of Workers' Compensation
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- New Request** **Resubmission – Change in Material Facts**
 Expedited Review: Check box if employee faces an imminent and serious threat to his or her health
 Check box if request is a written confirmation of a prior oral request.

Employee Information

Employee Name (Last, First, Middle): Roquemore, Sandra **ROQSA000**
Date of Injury (MM/DD/YYYY): 11/03/2020 **Date of Birth (MM/DD/YYYY):** 02/11/1955
Claim Number: **Employer:** American Guard Services (DBA)

Provider Information

Provider Name: Nelson J. Flores, Ph.D.
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Address: 2107 N Broadway Ste 207 **City:** Santa Ana **State:** CA
Zip Code: 92706 **Phone:** 714-972-0040 **Fax Number:** 714-972-0477
Provider Specialty: Psychology **NPI Number:** 1831237981
E-mail Address:

Claims Administrator Information

Claims Administrator Name: Next Level Administrators **Contact Name:**
Address: P.O. Box 1061 **City:** Bradenton **State:** FL
Zip Code: 34206 **Phone:** (941)281-3494 **Fax Number:** (941)444-6200
E-mail Address:

Requested Treatment (see instructions for guidance; attached additional pages if necessary)

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Generalized Anxiety Disorder	F41.1	Group Medical Psychotherapy	90853	" "
Insomnia	F51.05	Group Medical Psychotherapy	90853	" "
Pain Dis. w/Related Psychologi	F45.42	Group Medical Psychotherapy	90853	" "

Treating Physician Signature:  **Date:** 2/15/2022

Claims Administrator Response

- Approved** **Denied or Modified (See separate decision letter)** **Delay (See separate notification of delay)**
 Requested treatment has been previously denied **Liability for treatment is disputed**

Authorization Number (if assigned): **Date:**
Authorized Agent Name: **Signature:**
Phone: **Fax Number:** **E-mail Address:**
Comments:



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Division of Workers' Compensation
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Authorization Number (if assigned): **Date:**
Authorized Agent Name: **Signature:**
Phone: **Fax Number:** **E-mail Address:**

Comments:

Message Sent: 81880264 | 3/3/2022 2:57:06 PM PST

1 message

FAXAGENT <noreply@mitelcloud.com>
To: Fax 3 <collections@drnelsonflores.com>

Thu, Mar 3, 2022 at 3:54 PM

Delivery Information:

Message #: 81880264
Status: Success
Sender Name: Fax 3
Sender Company:
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Remote CSID: FF240-IP.Rx
Total Pages: 6

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Fax Transmission

To: 19414446200

From: Fax 3

Fax: 19414446200

Date: 3/3/2022 2:57:06 PM PST

RE: Roquemore, Sandra

Pages: 6

Comments:

11/29/21 PR-2 and RFAs

Collections

*Psychological Assessment Services *

Ph. 714-972-0040

Fax 714-972-0477

PROOF OF SERVICE BY MAIL (1013a.2015.5. C.C.P.)

STATE OF CALIFORNIA, COUNTY OF ORANGE

I am employed in the county aforesaid; I am over the age of eighteen years and not a party to the within entitled action; my business address is 2107 N. Broadway, Suite 207, Santa Ana, CA 92706.

On 2/17/2022, I served the within progress report(s) dated 10/18/21³ and bill regarding **Sandra Roquemore** 01/31/22 on the appropriate parties in said action by placing a true copy thereof enclosed in a sealed envelope with postage thereon fully prepaid, in the United States mail at Santa Ana, California, addressed as follows:

Workers Defenders Law Group
8018 E. Santa Ana Cyn #100-215
Anaheim Hills, CA 92808

Ted Tribble PSY.D.
4344 Latham Street Ste. 120
Riverside, CA 92501

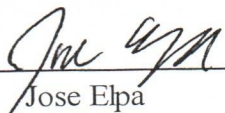
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Attn.: Patricia Carruther

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P.O. Box 1061
Bradenton, FL 34206
Claim #:
Attn.

I declare under penalty of perjury, that the foregoing is true and correct.

Executed on 2/17/2022, at Santa Ana, California.

By: 
Jose Elpa

cc: File

PRIMARY TREATING PHYSICIAN'S PROGRESS REPORT (PR-2)

Check the box(es) which indicate why you are submitting a report at this time. If the patient is "Permanent and Stationary" (i.e., has reached maximum medical improvement), do not use this form. You may use DWC Form PR-3 or IMC 81556

<input type="checkbox"/> Periodic Report (required 45 days after last report)	<input type="checkbox"/> Change in treatment plan	<input type="checkbox"/> Discharged
<input type="checkbox"/> Change-in work status	<input type="checkbox"/> Need for referral or consultation	<input type="checkbox"/> Info. Requested by:
<input type="checkbox"/> Change in patient's condition	<input type="checkbox"/> Need for surgery or hospitalization	<input type="checkbox"/> Other: <u>UR REQUESTED</u>

Patient:

Last Roquemore First Sandra M.I. _____ Sex Female DOB 02/11/1955
 Address 1763 Exposition Blvd City Los Angeles State _____ Zip 90018
 Occupation _____ SS # _____ Phone () (213)677-8002
564-92-3586 FAX _____

Claims Administrator:

Name Accident Fund Ins. Co. of America Claim Number CI#UW2000031101
 Address P.O. Box 40790 City Lansing State _____ Zip 48901
 Phone () (877)563-4636 FAX _____
 Employer name: American Guard Services (DBA) Employer Phone: ()

The information below must be provided. You may use this form or you may substitute or append a narrative report.

Subjective Complaints:

Ms. Roquemore reports pain in her left shoulder, left arm, left hand, lower back, and feet. She has difficulty controlling her emotions. She tends to socially isolate and withdraw from others. She feels sad, fearful, nervous, restless, anxious, depressed, and helpless. (Continue on 2nd page).

Objective Findings: (Include significant physical examination, laboratory, imaging, or other diagnostic findings.)

Sad mood.

Diagnosis:

- | | | |
|-------------------------------------------------------------|-------|---------------|
| 1. <u>Major Depressive Dis., Single Episode, Severe w/o</u> | ICD-9 | <u>F32.2</u> |
| 2. <u>Generalized Anxiety Disorder</u> | ICD-9 | <u>F41.1</u> |
| 3. <u>Insomnia Related to Other Mental Disorder</u> | ICD-9 | <u>F51.05</u> |

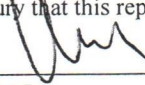
Treatment Plan: (Include treatment rendered to date. List methods, frequency and duration of planned treatment(s). Specify consultation/referral, surgery, and hospitalization. Identify each physician and non-physician provider. Specify type, frequency and duration of physical medicine services (e.g., physical therapy, manipulation, acupuncture. Use CPT codes is encouraged. Have there been any changes in treatment plan? If so, why?)

Cognitive Behavioral Group Psychotherapy 1x week for 6 weeks. Relaxation Training/Hypnotherapy for the treatment of patient's anxious symptoms 1x week for 6 weeks. (Continue on 2nd page).

Work Status: From the psychological standpoint, this patient is psychiatrically temporarily totally disabled until 12/03/2021.

Restriction: To be determined when patient reaches MMI Status.

Primary Treating Physician: (original signature, do not stamp) Date of exam: October 18, 2021
 I declare under penalty of perjury that this report is true and correct to the best of my knowledge and that I have not violated Labor Code § 139.3.

Signature: 	Cal. Lic. #	<u>PSY12317/PSB94026051</u>
Executed at: <u>SANTA ANA</u>	Date:	<u>10/18/2021</u>
Name: <u>Nelson J. Flores, Ph.D, QME/Ting T. Chiu, M.A.</u>	Specialty:	<u>Psychology</u>
Address: <u>P.O. Box 6299 Laguna Niguel, CA 92607-6299</u>	Phone:	<u>(714) 972-0040</u>

Next report due no later than _____

Primary Treating Physician's Progress Report (PR-2)

RE: Sandra Roquemore

Date: October 18, 2021

Page 2 of 2

Subjective Complaints:

She experiences crying episodes and, at times, she feels like crying. She has difficulty communicating and making decisions. She has a decreased appetite and reports she has lost weight. She has lost interest in her usual activities. She fears the worst happening. She endorses sleep difficulties, including nightmares and distressing dreams. She feels tired and has low energy throughout the day. She experiences intrusive recollections and flashbacks. She has a decreased sexual desire. She is bothered by headaches. She reports gastrointestinal disturbances, including heartburn, indigestion, diarrhea, and constipation. She has shown improvement in her motivation to engage in treatment and insight.

Treatment Plan:

Follow up in 45 days. Continue with current treatment plan. The treatment to be provided by Dr. Flores and Registered Psychological Assistants, Jennifer Lightner-Farrell and Ting F. Chiu.

Psychological Testing:

Burns Depression Checklist score: **87 (extreme depression)** Burns Anxiety Inventory score: **87 (extreme anxiety)**

Psychological testing administered today: **31** minutes (testing time includes administration, scoring, and interpretation).

Disclosure:

The patient was informed and consented to the use of telehealth services.

Scoring and interpretation of the psychological testing were conducted by Dr. Flores/Psychological Assistants, Jennifer Lightner-Farrell/Ting F. Chiu.

Time spent for this service: 20 minutes face to face time with the patient via telemedicine.

Time spent preparing this report: 1.15 hours preparing, testing score, interpretation, transcribing, and editing this report.

I have reviewed the medical records and collateral records. X 15 mins.



State of California
Division of Workers' Compensation
REQUEST FOR AUTHORIZATION

DWC Form RFA - California Code of Regulations, title 8, section 9785.

This form must accompany the Doctor's First Report of Occupational Injury or Illness, Form DLSR 5021, a Treating Physician's Progress Report, DWC Form PR-2, or narrative report substantiating the requested treatment.

- New Request Resubmission – Change in Material Facts
 Expedited Review: Check box if employee faces an imminent and serious threat to his or her health
 Check box if request is a written confirmation of a prior oral request.

Employee Information

Employee Name (Last, First, Middle): Roquemore, Sandra ROQSA000
Date of Injury (MM/DD/YYYY): 11/03/2020 Date of Birth (MM/DD/YYYY): 02/11/1955
Claim Number: CL#:UW2000031101 Employer: American Guard Services (DBA)

Provider Information

Provider Name: Nelson J. Flores, PH.D.
Practice Name: Psychological Assessment Serv. Contact Name: Ted Tribble PSY.D.
Address: 2107 N Broadway Ste 207 City: Santa Ana State: CA
Zip Code: 92706 Phone: 714-972-0040 Fax Number: 714-972-0477
Provider Specialty: Psychology NPI Number: 1831237981
E-mail Address:


Claims Administrator Information

Claims Administrator Name: Accident Fund Ins. Co. of America Contact Name:
Address: P.O. Box 40790 City: Lansing State: MI
Zip Code: 48901 Phone: (877)563-4636 Fax Number:
E-mail Address:

Requested Treatment (see instructions for guidance; attached additional pages if necessary).

Either state the requested treatment in the below space or indicate the specific page number(s) of the accompanying medical report on which the requested treatment can be found. Up to five (5) procedures may be entered; attach additional requests on a separate sheet.

Diagnosis	ICD-Code	Procedure Requested	CPT/HCPCS Code	Other Information: (Frequency, Duration Quantity, Facility, etc.)
Major Depressive Dis., Single	F32.2	Phone call, intermediate	99442	ONCE IN 45 DAYS
Generalized Anxiety Disorder	F41.1	Phone call, intermediate	99442	" "
Insomnia	F51.05	Phone call, intermediate	99442	" "
Pain Dis. w/Related Psychologi	F45.42	Phone call, intermediate	99442	" "

Treating Physician Signature:  Date: 1/31/2022

Claims Administrator Response

- Approved Denied or Modified (See separate decision letter) Delay (See separate notification of delay)
 Requested treatment has been previously denied Liability for treatment is disputed

Authorization Number (if assigned): Date:
Authorized Agent Name: Signature:
Phone: Fax Number: E-mail Address:

Comments:



Message Sent: 81258266 | 2/3/2022 12:41:56 PM PST

1 message

FAXAGENT <noreply@mitelcloud.com>
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RE: Roquomore, Sandra

Pages: 6

Comments:

10/18/21 PR-2 and RFAs

Collections

*Psychological Assessment Services *

Ph. 714-972-0040

Fax 714972-0477

PROOF OF SERVICE BY MAIL (1013a.2015.5. C.C.P.)

STATE OF CALIFORNIA, COUNTY OF ORANGE

I am employed in the county aforesaid; I am over the age of eighteen years and not a party to the within entitled action; my business address is 2107 N. Broadway, Suite 207, Santa Ana, CA 92706.

On 12/13/2021, I served the within progress report(s) dated 7/26/21 & 7/28/21 and bill regarding **Sandra Roquemore**

on the appropriate parties in said action by placing a true copy thereof enclosed in a sealed envelope with postage thereon fully prepaid, in the United States mail at Santa Ana, California, addressed as follows:

Workers Defenders Law Group
8018 E. Santa Ana Cyn #100-215
Anaheim Hills, CA 92808

Ted Tribble PSY.D.
4344 Latham Street Ste. 120
Riverside, CA 92501

Claim #:
Attn.

Accident Fund Ins. Co. of America
P.O. Box 40790
Lansing, MI 48901
CL#: UW2000031101
Attn.: Patricia Carruther

Next Level Administrators
P.O. Box 1061
Bradenton, FL 34206
Claim #:
Attn.

I declare under penalty of perjury, that the foregoing is true and correct.

Executed on 12/13/2021, at Santa Ana, California.

By: Alina Flores
Alina Flores

cc: File

PRIMARY TREATING PHYSICIAN'S PROGRESS REPORT (PR-2)

Check the box(es) which indicate why you are submitting a report at this time. If the patient is "Permanent and Stationary" (i.e., has reached maximum medical improvement), do not use this form. You may use DWC Form PR-3 or IMC 81556

- | | | |
|-------------------------------------------------------------------------------|--------------------------------------------------------------|-----------------------------------------------------|
| <input type="checkbox"/> Periodic Report (required 45 days after last report) | <input type="checkbox"/> Change in treatment plan | <input type="checkbox"/> Discharged |
| <input type="checkbox"/> Change-in work status | <input type="checkbox"/> Need for referral or consultation | <input type="checkbox"/> Info. Requested by: |
| <input type="checkbox"/> Change in patient's condition | <input type="checkbox"/> Need for surgery or hospitalization | <input type="checkbox"/> Other: <u>UR REQUESTED</u> |

Patient:

Last Roquemore First Sandra M.I. _____ Sex Female DOB 02/11/1955
 Address 1763 Exposition Blvd City Los Angeles State _____ Zip 90018
 Occupation _____ SS # _____ Phone () (323)643-4539
564-92-3586 FAX ()

Claims Administrator:

Name Accident Fund Ins. Co. of America Claim Number CL#:UW2000031101
 Address P.O. Box 40790 City Lansing State _____ Zip 48901
 Phone () (877)563-4636 FAX ()

Employer name: American Guard Services (DBA) Employer Phone: ()

The information below must be provided. You may use this form or you may substitute or append a narrative report.

Subjective Complaints:

Ms. Roquemore reports pain in her lower back, arms, feet, and legs. She has difficulty controlling her emotions and impulses. She tends to socially isolate and withdraw from others. She feels sad, irritable, fearful, nervous, restless, anxious, depressed, and helpless. (Continue on 2nd page).

Objective Findings: (Include significant physical examination, laboratory, imaging, or other diagnostic findings.)

Sad and anxious mood and difficulties concentrating.

Diagnosis:

- | | | |
|-------------------------------------------------------------|-------|---------------|
| 1. <u>Major Depressive Dis., Single Episode, Severe w/o</u> | ICD-9 | <u>F32.2</u> |
| 2. <u>Generalized Anxiety Disorder</u> | ICD-9 | <u>F41.1</u> |
| 3. <u>Insomnia Related to Other Mental Disorder</u> | ICD-9 | <u>F51.05</u> |

Treatment Plan: (Include treatment rendered to date. List methods, frequency and duration of planned treatment(s). Specify consultation/referral, surgery, and hospitalization. Identify each physician and non-physician provider. Specify type, frequency and duration of physical medicine services (e.g., physical therapy, manipulation, acupuncture. Use CPT codes is encouraged. Have there been any changes in treatment plan? If so, why?)

Cognitive Behavioral Group Psychotherapy 1x week for 6 weeks. Relaxation Training/Hypnotherapy 1x week for 6 weeks. Follow up in 45 days. Continue with current treatment plan. (Continue on 2nd page).

Work Status: From the psychological standpoint, this patient is psychiatrically temporarily totally disabled until 09/10/2021.

Restriction: To be determined when patient reaches MMI Status.

Primary Treating Physician: (original signature, do not stamp) _____ Date of exam: July 26, 2021
 I declare under penalty of perjury that this report is true and correct to the best of my knowledge and that I have not violated Labor Code § 139.3.
 Signature: _____ Cal. Lic. # PSY12317/PSY31773
 Executed at: SANTA ANA Date: 07/26/2021
 Name: Nelson J. Flores, Ph.D, QME/Nelson L. Flores, Psy.D. Specialty: Psychology
 Address: P.O. Box 6299 Laguna Niguel, CA 92607-6299 Phone: (714) 972-0040
 Next report due no later than _____

Primary Treating Physician's Progress Report (PR-2)

RE: Sandra Roquemore

Date: July 26, 2021

Page 2 of 2

Subjective Complaints:

She experiences crying episodes and, at times, she feels like crying. She has difficulty communicating and making decisions. She has a decreased appetite and reports she has lost weight. She has lost interest in her usual activities. She fears the worst happening. She endorses sleep difficulties, including nightmares and distressing dreams. She feels tired and has low energy throughout the day. She experiences intrusive recollections and flashbacks. She has a decreased sexual desire. She is bothered by headaches. She reports gastrointestinal disturbances, including heartburn, indigestion, diarrhea, constipation, and nausea. She has shown improvement in her motivation.

Treatment Plan:

The treatment to be provided by Dr. Flores, and Registered Psychological Assistants, Stephanie T. Imp, Jennifer Lightner, and Ting F. Chiu.

Psychological Testing:

Burns Depression Checklist score: **79 (extreme depression)** Burns Anxiety Inventory score: **84 (extreme anxiety)**

Psychological testing administered today: **31** minutes (testing time includes administration, scoring, and interpretation).

Disclosure:

The patient was informed and consented to the use of telehealth services.

Scoring and interpretation of the psychological testing were conducted by Dr. Flores/Psychological Assistants, Stephanie T. Imp/Jennifer Lightner/Ting F. Chiu.

Time spent for this service: 20 minutes face to face time with the patient via telemedicine.

Time spent preparing this report: 1.15 hours preparing, testing score, interpretation, transcribing, and editing this report.

I have reviewed the medical records and collateral records. X 15 mins.



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RE: Roquemore, Sandra

Pages: 6

Comments:

07/26/21 PR-2 and RFAs

Collections

*Psychological Assessment Services *

Ph. 714-972-0040

Fax 714-972-0477



**State of California
Division of Workers' Compensation
REQUEST FOR AUTHORIZATION**

DWC Form RFA - California Code of Regulations, title 8, section 9785.

This form must accompany the Doctor's First Report of Occupational Injury or Illness, Form DLSR 5021, a Treating Physician's Progress Report, DWC Form PR-2, or narrative report substantiating the requested treatment.

- New Request Resubmission – Change in Material Facts
 Expedited Review: Check box if employee faces an imminent and serious threat to his or her health
 Check box if request is a written confirmation of a prior oral request.

Employee Information

Employee Name (Last, First, Middle): Roquemore, Sandra ROQSA000
 Date of Injury (MM/DD/YYYY): 11/03/2020 Date of Birth (MM/DD/YYYY): 02/11/1955
 Claim Number: Employer: American Guard Services (DBA)

Provider Information

Provider Name: Nelson J. Flores, Ph.D
 Practice Name: Psychological Assessment Serv. Contact Name: Ted Tribble PSY.D.
 Address: 4344 Latham St. Suite 120 City: Riverside State: CA
 Zip Code: 92501 Phone: 714-972-0040 Fax Number: 714-972-0477
 Provider Specialty: Psychology NPI Number: 1831237981
 E-mail Address:

Claims Administrator Information


Claims Administrator Name: Next Level Administrators Contact Name:
 Address: P.O. Box 1061 City: Bradenton State: FL
 Zip Code: 34206 Phone: (941)281-3494 Fax Number: (941)444-6200
 E-mail Address:

Requested Treatment (see instructions for guidance; attached additional pages if necessary)

Either state the requested treatment in the below space or indicate the specific page number(s) of the accompanying medical report on which the requested treatment can be found. Up to five (5) procedures may be entered; attach additional requests on a separate sheet.

Diagnosis	ICD-Code	Procedure Requested	CPT/HCPCS Code	Other Information: (Frequency, Duration Quantity, Facility, etc.)
Major Depressive Dis., Single	F32.2	Phone call, intermediate	99442	ONCE IN 45 DAYS
Generalized Anxiety Disorder	F41.1	Phone call, intermediate	99442	" "
Insomnia	F51.05	Phone call, intermediate	99442	" "
Pain Dis. w/Related Psychologi	F45.42	Phone call, intermediate	99442	" "

7/28/2021

Treating Physician Signature:  Date:

Claims Administrator Response

- Approved Denied or Modified (See separate decision letter) Delay (See separate notification of delay)
 Requested treatment has been previously denied Liability for treatment is disputed

Authorization Number (if assigned): Date:
 Authorized Agent Name: Signature:
 Phone: Fax Number: E-mail Address:

Comments:



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RE: Roquemore, Sandra

Pages: 6

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Collections

*Psychological Assessment Services *

Ph. 714-972-0040

Fax 714-972-0477